



PATENT
Atty. Dkt. AMAT/6162.P1/CPS/IBSS/LAP

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

MAIL STOP PATENT APPLICATION
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

CERTIFICATE UNDER 37 CFR 1.10

I hereby certify that this transmittal and the documents referred to as attached therein are being deposited on July 17, 2003 with the United States Postal Service in an envelope as "Express Mail Post Office to Addressee," mailing label No. EV351031169US addressed to: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450.

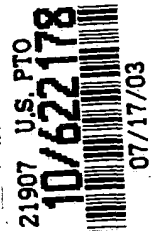
07/17/03
Date

Signature

REQUEST FOR FILING A PATENT APPLICATION
UNDER 37 CFR 1.53(b)

This is a request for filing the following continuing application for a prior application:

- ☐ Continuation
- ☐ Divisional
- ☒ Continuation-in-part
- ☐ attached is an amendment for added subject matter
- ☐ Continuing application to permit consideration of an information disclosure statement under 37 CFR 1.97.



PARTICULARS OF PRIOR APPLICATIONS

Application Serial No.: 60/479,755
Confirmation No.: Unknown
Filed: June 18, 2003
Title: Method of Surface Texturizing

Application Serial No.: 10/099,307
Confirmation No.: 1094
Filed: March 13, 2002
Title: Method of Surface Texturizing

Name(s) of applicant(s) (as originally filed and as last amended) and the current correspondence address and country of citizenship for each:

First Inventor:

Name: Alan R. Popiolkowski
Residence: 228 Gee Gee Avenue, Los Banos, CA 93635
Country of Citizenship: Britain
Post Office Address: same as above

Second Inventor:

Name: Shannon M. Hart
Residence: 891 Monica Lane, Campbell, CA 95008
Country of Citizenship: USA
Post Office Address: same as above

Third Inventor:

Name: Marc O. Schweitzer
Residence: 1780 Cleveland Avenue, San Jose, CA 95126
Country of Citizenship: USA
Post Office Address: same as above

Fourth Inventor:

Name: Alan B. Liu
Residence: 112 E. Middlefield Road, #A, Mountain View, CA 94043
Country of Citizenship: USA
Post Office Address: same as above

Fifth Inventor:

Name: Jennifer L. Watia
Residence: 500 W. Middlefield Road, #35, Mountain View, CA 94043
Country of Citizenship: USA
Post Office Address: same as above

Sixth Inventor:

Name: Brian West
Residence: 4780 Grimsby Drive, San Jose, CA 95130
Country of Citizenship: USA
Post Office Address: same as above

Seventh Inventor:

Name: Mark Menzie
Residence: 1220 N. Fair Oaks Avenue, #1309, Sunnyvale, CA 94089
Country of Citizenship: USA
Post Office Address: same as above

INVENTORSHIP STATEMENT

- (a) ☐ This application discloses and claims only subject matter disclosed in the prior application whose particulars are set out above, and the inventor(s) in this application are:
- ☐ the same
- ☐ less than those named in the prior application, and it is requested that the following inventor(s) for the prior application be deleted:
- (b) ☒ This application discloses and claims additional disclosure and a new declaration or oath is being filed. With respect to the prior application whose particulars are set out above, the inventor(s) in this application are:
- ☐ the same
- ☒ add the following additional inventor(s): BRIAN WEST AND MARK MENZIE
- (c) ☒ The inventorship for all the claims in this application is:
- ☐ the same
- ☒ not the same; and an explanation, including the ownership of the various claims at the time the last claimed invention was made, is submitted.

DECLARATION OR OATH

- ☐ Continuation or Divisional (copy of original Declaration/Oath is attached)
- ☒ Continuation-in-part
- ☐ Attached, and executed by:
- ☐ inventor(s)
- ☐ legal representative of inventor(s) (37 CFR 1.42 or 1.43)
- ☐ joint inventor or person showing a proprietary interest for inventor who refused to sign or cannot be reached (37 CFR 1.47)
- ☐ This is the petition required by 37 CFR 1.47 and the statement required by 37 CFR 1.47 is also attached.
- ☒ Not attached
- ☐ Application is made by a person authorized under 37 CFR 1.41(c) on behalf of all of the above-named applicant(s).
- ☐ Attached is a showing that the filing is authorized.

IDENTIFICATION OF CLAIMS FOR FURTHER PROSECUTION

- ☐ The fees to be charged are to be based on the number of claims remaining as a result of the:
- ☐ attached preliminary amendment
 - ☐ cancellation of claim(s)
 - ☐ claims in the application

FEE CALCULATION (37 CFR 1.16)

| | NUMBER OF CLAIMS FILED | LESS NUMBER PAID BY BASIC FEE | NUMBER OF EXTRA CLAIMS (Not less than zero) | LARGE ENTITY FEE |
|---|------------------------|-------------------------------|---|------------------|
| Basic Fee | | | | \$750.00 |
| Total Claims | 56 | - 20 = 36 | X \$18 = | \$648.00 |
| Independent Claims | 3 | - 3 = 0 | X \$84 = | \$0.00 |
| First Presentation of Multiple Dependent Claims + \$280 | | | | -0- |
| Total Filing Fee Calculation | | | | \$1,398.00 |

PETITION FOR SUSPENSION OF PROSECUTION FOR THE TIME NECESSARY TO FILE AN AMENDMENT

- ☐ There is provided herewith a Petition for Suspension of Prosecution for the Time Necessary to File an Amendment (37 CFR 1.103) – New Application Filed Concurrently

FEE PAYMENT BEING MADE AT THIS TIME

- ☒ No filing fee is submitted
- ☐ Filing Fee \$750.00
 - ☐ Recording Assignment [37 CFR 1.21(h)] \$ 40.00
 - ☐ Petition fee for filing by other than all the inventors or person not the inventor where inventor refused to sign or cannot be reached [37 CFR 1.47 and 1.17(h)] \$130.00
 - ☐ Processing and retention fee [37 CFR 1.53(d) and 1.21(l)] \$130.00
 - ☐ Please charge Deposit Account No. in the amount of .00
(A duplicate copy of this request is enclosed)

AUTHORIZATION TO CHARGE ADDITIONAL FEES

- ☐ The Commissioner is hereby authorized to charge the following additional fees which may be required by this paper and during the entire pendency of this application, to **Deposit Account No.**
- ☐ 37 CFR 1.16(a), (f) or (g) (filing fees)
 - ☐ 37 CFR 1.16(b), (c) and (d) (presentation of extra claims)
 - ☐ 37 CFR 1.16(e) (surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application)
 - ☐ 37 CFR 1.17 (application processing fees)
 - ☐ 37 CFR 1.18 [issue fee at or before mailing of Notice of Allowance, pursuant to 37 CFR 1.311(b)]

INSTRUCTIONS AS TO OVERPAYMENT

- ☐ **Credit Deposit Account No.**

PRIORITY 35 USC 119

- ☒ Priority of application Serial No. 60/479,755 filed on June 18, 2003 in United States is claimed under 35 USC 119.
- ☐ The certified copy has been filed on _____ in prior U.S. Application Serial No. _____, which prior application was filed on _____.
- ☐ Certified copy will follow.

RELATE BACK 35 USC 120

- ☒ This is a
- ☐ divisional
 - ☐ continuation
 - ☒ continuation-in-part
- of copending application(s)
- ☒ Serial No. 10/099,307 filed on March 13, 2002.
- ☐ International Application _____ filed on _____ and which designated _____ the U.S."

ASSIGNMENT

- ☒ The prior application is assigned of record to: Applied Materials, Inc.
- ☐ An assignment of the invention to _____ is attached.
- ☐ Form PTO 1595 (Recordation Form Cover Sheet) is also attached (**in duplicate**).

POWER OF ATTORNEY

- ☒ The power of attorney in the prior application is to:

| | |
|-----------------------|-------------------------|
| Donald Verplancken | Registration No. 33,217 |
| Peter J. Sgarbossa | Registration No. 25,610 |
| Lawrence Edelman | Registration No. 25,226 |
| Raymond Kam-On Kwong | Registration No. 37,165 |
| James C. Wilson | Registration No. 35,412 |
| Robert W. Mulcahy | Registration No. 25,436 |
| Walter Benjamin Glenn | Registration No. 44,713 |
| B. Todd Patterson | Registration No. 37,906 |
| Raymond R. Moser, Jr. | Registration No. 34,682 |
| Keith M. Tackett | Registration No. 32,008 |
| Douglas H. Elliott | Registration No. 32,982 |
| William B. Patterson | Registration No. 34,102 |

Send correspondence to:

Direct telephone calls to:

Patent Counsel
APPLIED MATERIALS, INC.
P.O. Box 450A
Santa Clara, CA 95052

B. Todd Patterson
MOSER, PATTERSON & SHERIDAN, L.L.P.
(713) 623-4844

- ☒ The power appears in the original papers in the prior application.
- ☐ The power does not appear in the original papers, but was filed on _____.
- ☐ A new power has been executed and is attached.

- ☒ Address all future communications to:
Patent Counsel
APPLIED MATERIALS, INC.
P.O. Box 450A
Santa Clara, CA 95052

Direct telephone calls to:
B. Todd Patterson
MOSER, PATTERSON & SHERIDAN, L.L.P.
(713) 623-4844

MAINTENANCE OF COPENDENCY OF PRIOR APPLICATION

- ☐ A petition, fee and response has been filed to extend the term in the pending prior application until . (copy attached)

CONDITIONAL PETITION FOR EXTENSION OF TIME IN PRIOR APPLICATION

- ☐ A conditional petition for extension of time is being filed in the pending prior application. (copy attached)

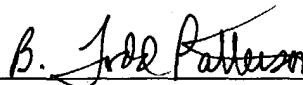
ABANDONMENT OF PRIOR APPLICATION

- ☐ Please abandon the prior application at a time while the prior application is pending or when the petition for extension of time or to revive in that application is granted, and when this application is granted a filing date, so as to make this application copending with said prior application. At the same time, please add the words "now abandoned" to the amendment to the specification set forth in the "Relate Back-35 USC 120" section (page 5).

INFORMATION DISCLOSURE STATEMENT

- ☐ Submitted herewith is an Information Disclosure Statement.

Respectfully submitted,



B. Todd Patterson
Registration No. 37,906
MOSER, PATTERSON & SHERIDAN, L.L.P.
3040 Post Oak Blvd., Suite 1500
Houston, TX 77056
Telephone: (713) 623-4844
Facsimile: (713) 623-4846
Attorney for Applicant(s)